

We can also show that functions are not big-O.

(58)

Example:  $n^2$  is not  $O(n)$ .

(L9)

Proof: By contradiction. Suppose that  $n^2$  is  $O(n)$ . Then there exist constants  $c$  and  $n_0$  such that  $n^2 \leq c \cdot n$  for all  $n \geq n_0$ .

In particular,  $n_0^2 \leq c \cdot n_0 \Rightarrow n_0 \leq c$ .

~~Let~~ Take  $n = c+1$ . Since  $n_0 \leq c < c+1$ , we should have that  $(c+1)^2 \leq c \cdot (c+1)$ . But then  $c+1 \leq c$ , which is impossible. Therefore  $n^2$  cannot be  $O(n)$ .

## Big-O in practice

### Problem (Element distinctness)

Input: Sequence  $A = a_1, a_2, \dots, a_n$ .

Output: YES if there are  $i, j$  such that  $a_i = a_j$ .  
NO otherwise.

#### Algorithm 1

1. for  $i=1$  to  $n$  do  $\leq n \times$
2.   for  $j=i+1$  to  $n$  do  $\leq n \times \left( \sum_{i=1}^n O(i) \right)$
3.     if  $a_i = a_j$  then  $\left. \begin{array}{l} \text{return YES} \end{array} \right\} O(i)$
4.     return YES  $\left. \begin{array}{l} \end{array} \right\} O(i)$
5. return NO  $O(1)$

$$\begin{aligned} \text{Total: } T_1(n) &\leq n \times n \times O(1) + O(1) \\ &= n^2 \times O(1) + O(1) \\ &= O(n^2) + O(1) \\ &= O(n^2 + 1) \\ &= O(n^2) \end{aligned}$$

#### Algorithm 2

1. Sort A  $O(n \log n)$
2. for  $i=1$  to  $n-1$  do  $\leq n \times$
3.   if  $a_i = a_{i+1}$  then  $\left. \begin{array}{l} \text{return YES} \end{array} \right\} O(1)$
4.   return YES  $\left. \begin{array}{l} \end{array} \right\} O(1)$
5. return NO  $O(1)$

$$\begin{aligned} \text{Total: } T_2(n) &\leq O(n \log n) + n \times O(1) + O(1) \\ &= O(n \log n) + O(n) + O(1) \\ &= O(n \log n + n + 1) \\ &= O(n \log n) \end{aligned}$$

## Rules for big-O ~~Let~~ Assume all functions are positive ( $\forall n \geq 0 (f(n) > 0)$ ) (59)

1. If  $f(n)$  is  $O(g(n))$  then  $k \times f(n)$  is  $O(g(n))$  for  $k \in \mathbb{R}^+$ .

2. Proof:  $k \times f(n) \leq k \times c \times g(n)$  (for  $n \geq n_0$ )  
 $= (k \times c) \times g(n)$

Therefore  $k \times f(n)$  is  $O(g(n))$  with  $c = k \times c'$  and  $n_0 = n_0'$ .

2. If  $f_1(n)$  is  $O(g_1(n))$  and  $f_2(n)$  is  $O(g_2(n))$  then  $f_1(n) \times f_2(n)$  is  $O(g_1(n) \times g_2(n))$ .

Proof:  $f_1(n) \times f_2(n) \leq c_1 \times g_1(n) \times f_2(n)$  (for  $n \geq n_1$ )  
 $\leq c_1 \times g_1(n) \times c_2 \times g_2(n)$  (for  $n \geq n_2$ )  
 $= (c_1 \times c_2) \times (g_1(n) \times g_2(n))$

Therefore  $f_1(n) \times f_2(n)$  is  $O(g_1(n) \times g_2(n))$  with  $c = c_1 \times c_2$  and  $n_0 = \max(n_1, n_2)$ .

3. If  $f_1(n)$  is  $O(g_1(n))$  and  $f_2(n)$  is  $O(g_2(n))$  then  $f_1(n) + f_2(n)$  is  $O(g_1(n) + g_2(n))$ .

Proof: In tutorial.

4. If  $f(n)$  is  $O(g(n))$  and  $g(n)$  is  $O(h(n))$  then  $f(n)$  is  $O(h(n))$ .

Proof:  $f(n) \leq c_1 \times g(n)$  (for  $n \geq n_1$ )  
 $\leq c_1 \times c_2 \times h(n)$  (for  $n \geq n_2$ )

Therefore  $f(n)$  is  $O(h(n))$  with  $c = c_1 \times c_2$  and  $n_0 = \max(n_1, n_2)$



Consequence: If  $f(n)$  is  $O(g(n))$  and  $g(n)$  is  $O(h(n))$  then  $f(n) + g(n)$  is  $O(h(n))$ .

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Proof:  ~~$f(n) + g(n) \leq f(n) \times c_1 + g(n) + g(n)$  (for  $n \geq n_1$ )~~  
 ~~$= (c_1 + 1) \times g(n)$~~   
 ~~$\leq (c_1 + 1) \times c_2 \times h(n)$~~

$f(n)$  is  $O(h(n))$  (rule 4)

$f(n) + g(n)$  is  $O(h(n) + h(n)) = O(2h(n))$  (rule 3)

$O(2h(n))$  is  $O(h(n))$  (rule 1)

$f(n) + g(n)$  is  $O(h(n))$  (rule 4)

This means that adding up <sup>in</sup> "big-O world" is like taking the maximum:

$O(n^2 + n) = O(n^2)$ , because  $n$  is  $O(n^2)$ .

## Function Order

poly-nomial	$O(1)$ "constant"	$O(n!)$
	$O(\log n)$ "logarithmic"	$O(n^n)$
	$O(\log^k n)$ ( $k > 1$ ) "polylogarithmic"	Higher functions are big-O of lower functions.
	$O(n^a)$ ( $0 < a < 1$ )	
	$O(n)$ "linear"	
	$O(n \log n)$	
	<del><math>O(n^2)</math> "quadratic"</del>	
	$O(n^b)$ ( $b > 2$ ) "quadratic", etc.	
	<del><math>O(2^n)</math> "exponential"</del>	
	$O(k^n)$ ( $k > 2$ )	

# Proof by Induction

(61)

Suppose we want to prove a proposition  $P(n)$  with domain the natural numbers:

$$\forall n \in \mathbb{N} (P(n)).$$

As an example, let's use  $P(n) = \sum_{i=0}^n i = \frac{n(n+1)}{2}$ .

$$\forall n \in \mathbb{N} (P(n)) \equiv P(0) \wedge P(1) \wedge P(2) \wedge \dots$$

We can check some cases:

$$P(0) \text{ is true: } \sum_{i=0}^0 i = 0 = \frac{0 \cdot 1}{2} \quad \checkmark$$

$$P(1) \text{ is true: } \sum_{i=0}^1 i = 0 + 1 = 1 = \frac{1 \cdot 2}{2} \quad \checkmark$$

$$P(2) \text{ is true: } \sum_{i=0}^2 i = 3 = \frac{2 \cdot 3}{2} \quad \checkmark$$

$\vdots$

$$P(100) \text{ is true: } \sum_{i=0}^{100} i = \frac{100 \cdot 101}{2} \quad \checkmark$$

Is  $P(101)$  true?

$$\sum_{i=0}^{101} i = \underbrace{0 + 1 + 2 + \dots + 100}_{\sum_{i=0}^{100} i} + 101 = \sum_{i=0}^{100} i + 101$$

$$= \frac{100 \cdot 101}{2} + 101$$

$$= \frac{100 \cdot 101}{2} + \frac{2 \cdot 101}{2}$$

$$= \frac{102 \cdot 101}{2}$$

Yes!



We can do this for every number: once we know that  $P(0) \wedge \dots \wedge P(k)$  are true,  $P(k+1)$  must be true as well: (62)

$$\begin{aligned} P \sum_{i=0}^{k+1} i &= \sum_{i=0}^k i + (k+1) \\ &= \frac{k(k+1)}{2} + (k+1) \\ &= \frac{k(k+1)}{2} + \frac{2(k+1)}{2} \\ &= \frac{(k+2)(k+1)}{2} \end{aligned}$$

$$\boxed{P(0) \wedge \dots \wedge P(k) \Rightarrow P(k+1)}$$

But then it must be true for every number:

$P(0)$  is true (we checked this)

Therefore  $P(1)$  is true.

Therefore  $P(2)$  is true.

$\vdots$

Therefore  $P(n)$  is true. (for any  $n \in \mathbb{N}$ )

This is a proof by induction.

### Structure of proofs by induction

Every proof by induction has three components:  
Statement:  $P(n)$  is true for all  $n \geq a$ .

Base case:  $P(a)$  is true.

Inductive Hypothesis: Assume that  $P(a) \wedge \dots \wedge P(k)$  are all true, for some  $k \in \mathbb{N}$ .

Inductive Step: Prove that  $P(k+1)$  is true, using the fact that  $P(a), P(a+1), \dots, P(k)$  are all true.

Example: Prove that  $2^n < n!$  for all  $n \geq 4$ .

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Proof: By induction on  $n$ .

$$\begin{array}{l} \text{Base case } (n=4): \quad 2^4 = 2 \times 2 \times 2 \times 2 = 16 \\ \quad \quad \quad \quad \quad \quad \quad \quad 4! = 1 \times 2 \times 3 \times 4 = 24 \end{array} \left. \vphantom{\begin{array}{l} 2^4 = 2 \times 2 \times 2 \times 2 = 16 \\ 4! = 1 \times 2 \times 3 \times 4 = 24 \end{array}} \right\} 16 < 24 \checkmark$$

Inductive Hypothesis: Assume that  $2^n < n!$  for all  $n \leq k$ .

Inductive Step: We need to show that  $2^{k+1} < (k+1)!$ .

$$\begin{aligned} 2^{k+1} &= 2 \cdot 2^k \\ &< 2 \cdot k! \quad (\text{by the inductive hypothesis}) \\ &\leq (k+1) \cdot k! \quad (\text{since } k \geq 4) \\ &= (k+1)! \end{aligned}$$

Therefore  $2^n < n!$  for all  $n \geq 4$ .

(This shows that  $2^n$  is  $O(n!)$ ).

Exercise  
Example: Prove that for all integers  $n \geq 1$ ,  
 $n^3 - n$  is divisible by 3.

Proof: By induction on  $n$ .

Base case ( $n=1$ ):  $1^3 - 1 = 0 = 0 \times 3$  is divisible by 3.

Inductive Hypothesis: Assume that  $n^3 - n$  is divisible by 3 for all  $1 \leq n \leq k$ .

Inductive Step: We need to show that  $(k+1)^3 - (k+1)$  is divisible by 3.

$$\begin{aligned} (k+1)^3 - (k+1) &= (k^3 + 3k^2 + 3k + 1) - (k+1) \\ &= \underbrace{(k^3 - k)}_{\substack{\text{IH: div.} \\ \text{by 3}}} + \underbrace{3(k^2 + k)}_{\text{div. by 3}} \end{aligned}$$

Therefore  $n^3 - n$  is div. by 3 for all  $n \geq 1$ .

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